# Data Descriptor

# Cast vote records: A database of ballots from the 2020 U.S. Election\*

Shiro Kuriwaki<sup>1,†,\*</sup>, Mason Reece<sup>2,†</sup>, Samuel Baltz<sup>2</sup>, Aleksandra Conevska<sup>3</sup>, Joseph R. Loffredo<sup>2</sup>, Can E. Mutlu<sup>3</sup>, Taran Samarth<sup>1</sup>, Kevin E. Acevedo Jetter<sup>2</sup>, Zachary Djanogly Garai<sup>2</sup>, Kate Murray<sup>2</sup>, Shigeo Hirano<sup>4</sup>, Jeffrey B. Lewis<sup>5</sup>, James M. Snyder, Jr.<sup>3</sup>, and Charles H. Stewart, III<sup>2</sup>

#### **ABSTRACT**

Ballots are the core records of elections. Electronic records of actual ballots cast (*cast vote records*) are available to the public in some jurisdictions. However, they have been released in a variety of formats and have not been independently evaluated. Here we introduce a database of cast vote records from the 2020 U.S. general election. We downloaded publicly available unstandardized cast vote records, standardized them into a multi-state database, and extensively compared their totals to certified election results. Our release includes vote records for President, Governor, U.S. Senate and House, and state upper and lower chambers – covering 40.7 million voters in 20 states who voted for more than 2,121 candidates. This database serves as an uniquely granular administrative dataset for studying voting behavior and election administration. Using this data, we show that in battleground states, 1.9 percent of solid Republicans (as defined by their congressional and state legislative voting) in our database split their ticket for Joseph Biden, while 1.0 percent of solid Democrats split their ticket for Donald Trump.

## **Background & Summary**

Ballots are the core records of elections. While *sums* of ballots for individual candidates are reported regularly at geographic levels (King et al. 1997; Ansolabehere, Palmer, and Lee 2014; Baltz et al. 2022; Voting and Election Science Team 2024), rarely are *individual* ballots made available.

In paper-based elections, three sources of records at the individual level have been available to some researchers and litigators. The first is the actual, marked paper ballot. The second is the electronic scan of the paper ballot, often referred to as a *ballot image*. The third is an electronic record of the machine's interpretation of that scanned record, called *cast vote records* (CVRs). CVRs are not the ultimate basis of an election, but among the three sources of data, it is the only source that is electronically transmittable (Figure 1). CVRs should directly reproduce vote totals produced by ballot tabulators.

In this study, we introduce a dataset of CVRs representing 40.7 million voters. Unlike certified election results — typically made available by state election officials — CVRs are rarely

<sup>&</sup>lt;sup>1</sup>Yale University, Institution for Social and Policy Studies, New Haven, CT, 06511, USA

<sup>&</sup>lt;sup>2</sup>Massachusetts Institute of Technology, Department of Political Science, Cambridge, MA, 02139, USA

<sup>&</sup>lt;sup>3</sup>Harvard University, Department of Government, Cambridge, MA, 02138, USA

<sup>&</sup>lt;sup>4</sup>Columbia University, Department of Political Science, New York, NY, 10027, USA

<sup>&</sup>lt;sup>5</sup>University of California Los Angeles, Department of Political Science, Los Angeles, CA, 90095, USA

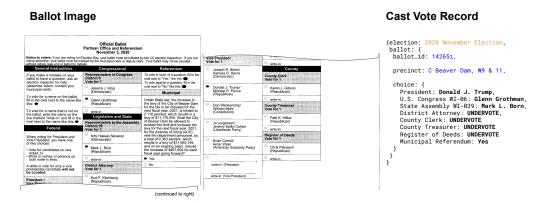
<sup>\*</sup>Corresponding author: shiro.kuriwaki@yale.edu

<sup>&</sup>lt;sup>†</sup>These authors contributed equally to this work.

 $<sup>{}^*</sup>This\ document\ is\ a\ data\ descriptor\ for\ dataset\ {\tt https://doi.org/10.7910/DVN/PQQ3KV},\ V1\ (July\ 2024).$ 

The National Institute of Standards and Technology (NIST) describes cast vote records as follows: "A CVR is an electronic record of a voter's selections, with usually one CVR created per sheet (page) of a ballot. Election results are produced by tabulating the collection of CVRs, and audits can be done by comparisons of the paper ballots or paper records of voter selections against the CVRs." (Wack 2019).

**Figure 1. Cast Vote Record Example**. An example of an actual ballot image (left) and the authors' representation of the associated cast vote record (right) in Wisconsin. Blank marks are recorded as an undervote.



centralized at the state level. Instead, CVRs are often produced as a byproduct of the tabulation process conducted at the sub-state level and retained by local election officials.

Following the November 3, 2020 U.S. general election, local election officials saw a surge in requests for cast vote records by anonymous constituents. Some states saw a four to five-fold increase in records requests between 2020 and 2022 (Green 2024; Leingang 2022). Owing to the work of election administrators in responding to these requests, and to O'Donnell (2023) for posting those unprocessed records online, researchers now have access to an unprecedented quantity of cast vote records.<sup>2</sup> We standardized the publicly available CVRs into a single database, and extensively compared them to official election results.

Our final dataset has two main audiences. The first audience are those in political science, economics, sociology, and other related fields who study electoral behavior. This data will allow researchers to study a wide variety of electoral phenomena. In particular, it will allow researchers to measure important aspects of voting behavior much more accurately than is possible using aggregate election returns, surveys or polls. The CVRs are at the individual level and record voters' actual choices across multiple offices. For example, the CVRs include choices for state legislative races. These are rarely included in surveys because researchers typically focus on top-of-the-ticket races.<sup>3</sup>

One important electoral phenomenon is split-ticket voting, that is, voting for candidates with different partisan affiliations across races. For example, aggregate-level election data can tell us how many votes Donald Trump received in a state and how many voted for each of the Republican candidates for offices further down the ballot, but it cannot tell us how many voters who voted for Donald Trump for President *also* voted for the Republican for all of the other offices (Burden and Kimball 2009; Malzhan and Hall 2024). Researchers can use CVRs to measure this type of behavior precisely, and at different levels of government, e.g., national level, state level, and across levels (Reece et al. 2024; Conevska et al. 2024; Kuriwaki 2023). Researchers can also use CVRs to count the number of voters who vote for Democratic candidates and also vote for the progressive position in referendums (Dubin and Gerber 1992; Gerber and Lewis 2004).

The data include geographic identifiers (counties and often precincts). Researchers can also infer geography from the CVRs themselves, since they reveal which voters were eligible to cast

O'Donnell and his collaborators originally collected the CVRs in order to investigate the validity of the 2020 presidential election (Bloomberg Technology 2022). For a discussion of such claims, see Grimmer, Herron, and Tyler (2024)

Moreover, estimates of vote choices in down-ballot elections can result in especially large measurement errors because respondents are less likely to correctly recall who they supported in down-ballot elections. Also, such estimates may have especially large sampling errors because only a few respondents in a typical nationally representative sample will have participated in any particular down-ballot contest such as those for state legislative seats.

a vote in which constituencies. One application would be to measure which state legislative or congressional districts have an especially high share of split-ticket voters. To the degree that these voters can be viewed as "swing" or "persuadable" voters, while straight-ticket voters can be viewed as "core" or "loyal" partisan voters, this measure could be used to test theories of resource allocation and campaign strategies.

Researchers can of course merge the CVR data with other information about the candidates and contests, such as incumbency status, patterns of campaign spending, and candidate attributes, such as race, ethnicity, gender, ideology, and/or experience (Dowling, Miller, and Morris 2024). With this extra data in hand, researchers can begin to study a wide variety of phenomena, including which voters split their tickets and in which ways as a function of the available choices. They can also study the degree to which split-ticket voting favors incumbents or the candidate who has a fundraising advantage. Researchers can also merge the CVR data with precinct-level demographic and socio-economic information to explore relationships between split-ticket voting and these types of variables. For example, does ticket splitting vary with the types and amounts of media (e.g., local newspapers) available in an area (DeLuca 2024)?

The CVR data could be used to study other electoral phenomena as well. Consider for example roll-off, where voters cast ballots but choose to abstain in particular races (also called undervoting). Using the CVRs, researchers can measure this behavior accurately. They can then investigate the types of races where this behavior is more prevalent, and the types of voters (e.g., straight-ticket Democrats, straight-ticket Republicans, or ticket-splitters) that are more likely to roll-off, as well as the interaction between contest and voter types. One final example is voting for candidates of minor parties, such as the Libertarian Party and the Green Party. Since the CVR database has millions of records, it contains many thousands of records of individuals who supported minor parties that received a small fraction of the vote (Herron and Lewis 2007). The typical survey is less useful for studying this type of behavior due to sample size.

The second audience is those in election law, forensics, and administration, who seek to study the integrity of the electoral process (Adler and Hall 2013; Bernhard et al. 2017). Cast vote records are of interest to scholars of attitudes towards election integrity because voters' mistrust of the vote counting process, when it exists, often revolves around the ballots (Gerber et al. 2013a; Atkeson et al. 2023; Jaffe et al. 2023). Ballot-level data have been used in studies of election administration to help explain seemingly surprisingly election results (Wand et al. 2001; Bafumi et al. 2012). Our dataset can also contribute to debates around the tradeoff between transparency and privacy (Biggers et al. 2023; Gerber et al. 2013b; Williams, Baltz, and Stewart 2024; Kuriwaki, Lewis, and Morse 2023).

Finally, our data has implications that go beyond the particular states in this release, or even the 2020 election in particular. The November 2020 election was a turning point in U.S. politics, where the administration of elections became an overtly partisan issue. The conduct and administration of any other future elections now risks being politicized. Self-enforcing the legitimacy of elections requires election administrators, data scientists, and social scientists to work together upon a common understanding of election technology. We hope that our data release serves as a standard for future work in this area of growing interest.

#### **Methods**

We downloaded raw CVRs from votedatabase.com.<sup>4</sup> As described in O'Donnell (2023), this website provides access to the raw electronic file containing CVRs that were acquired by numerous citizens via open records requests.

We approach the raw data cautiously. CVRs may not include all of the ballots cast in an election for a number of reasons. Even within a single electoral jurisdiction, it is possible that some valid ballots are cast and tabulated in a way that creates CVRs while others are not. Sometimes ballots that are held aside for manual adjudication, such as provisional or damaged ballots, are

<sup>&</sup>lt;sup>4</sup> Accessed on July 1, 2024.

not scanned through tabulators, and therefore a cast vote record is not created for such ballots. We can increase our confidence that CVR files posted are complete, uncorrupted, and genuine by comparing vote tallies produced using the downloaded CVR data to the official reports of vote totals from the same jurisdictions.<sup>5</sup>

We start with data that O'Donnell (2023) and his collaborators obtained and subsequently uploaded to votedatabase.com. O'Donnell (2023) reports that citizens requested CVRs according to their state's open record law guidelines, noting that all records were "obtained through these valid public records requests" (p.10). He reports that requests were sent to "nearly all counties in all states," and that 23 states responded that they did not have any records relevant to the request that could be provided under the state's open records law. Indeed, the availability of CVRs is also limited by state law and executive order, with states including North Carolina, South Carolina, and New York shielding the CVR from open records requests. In all, the CVRs in the data presented here originate from counties in 27 states and D.C. that were collected and made available by O'Donnell (2023).

Our standardization and validation proceeded in five steps. First, we downloaded the data files and standardized them so that their values were comparable across different voting machines and jurisdictions. While the website does provide some normalized versions of the raw data, we have chosen not to rely on these and instead independently process the raw data. We only considered files that were clearly CVRs in machine-readable form covering multiple offices and more than a handful of precincts. Standardization here entails that we identify the party affiliation of each candidate, code invalid votes consistently across jurisdictions, and standardize the formatting of candidate names. In the initial phase of the study, two groups conducted these pursuits independently, without awareness of each other's work. This gave us nearly independent measures of inter-coder reliability and reduced the possibility that a single coding error propagated to all counties.

About 15 percent of the counties had CVRs in non-rectangular formats such as JSON or XML. The remaining files were tabular files such as CSV or Microsoft Excel. Formats differed by the vendor of the machine (the main three being Dominion, Hart Intercivic, and ES&S), and the make of each machine. We parsed these data with our own R and Python scripts, eventually normalizing all data into a long format where one row represents a single vote choice by a voter. This article releases the full codebase we developed.

Second, we assigned a cast vote record identifier to each voter, within a county or jurisdiction. Most times, this number indicates an individual voter – one anonymous voter gets one identifier for all their choices. In about 30 counties with ballots spanning multiple double-sided pages, each page was separated before it was scanned. In about 20 of these counties, we used metadata to link pages into a single ID (see Appendix B). In the remaining 10, the records were irreversibly separated. This includes several counties in California (Los Angeles, San Francisco, San Bernardino, Ventura Counties). However, in the remaining counties, there is a one-to-one correspondence between the ID we assign and a single voter. Even in many counties with long ballots such as Maricopa, Arizona

<sup>&</sup>lt;sup>5</sup> Our goal is *not* an audit of the election. CVRs are neither sufficient nor necessary to prove the election was valid. While CVRs are convenient representations of the paper ballot, they are simply not intended to be the official results of an election. A county could choose to hand-count some paper ballots and validly certify the election, without producing a cast vote record. On the other hand, even if the cast vote record were complete, ballots could have been tampered with beforehand. See also footnote 14.

ovtedatabase.com did not limit their search to states battle ground states that Biden won. The database contains data from swing states like Wisconsin, Michigan, and Georgia, as well as solidly Democratic states, including California and New Jersey, and solidly Republican states, including Texas.

O'Donnell (2023) reports that those states were Alabama, Connecticut, Hawaii, Indiana, Kansas, Louisiana, Maine, Massachusetts, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New York, North Carolina, North Dakota, Oklahoma, South Carolina, South Dakota, Utah, Virginia, Washington, and Wyoming.

See https://commons.wikimedia.org/wiki/File:Ballot-foia.png and Kuriwaki, Lewis, and Morse (2023).

<sup>&</sup>lt;sup>9</sup> For estimates of the particular machine used in each county, see https://verifiedvoting.org/verifier.

From the administrator's perspective, once the ballot itself is deemed valid, the identity of the voter is irrelevant in the counting process.

Name	Description
state	The name of the state that the ballot is from
$county\_name$	The name of the county the ballot is from
$cvr_{-}id$	A unique ID given to each ballot within a state-county
precinct_medsl	One field that indicates the precinct that can be matched to Baltz et al. (2022)
precinct_cvr	Concatenated precinct values as recorded in the raw data, for each precinct_medsl.
office	The name of the office the voter is choosing a candidate in
district	The district of the office the voter is choosing from
candidate	The name of the candidate the voter has selected in the office-district
party	A simplified name of the party of the candidate on the ballot
$party\_detailed$	The full name of the party of the candidate on the ballot
magnitude	The number of candidates a voter could have chosen in this particular contest

**Table 1. Dataset Variable Description** 

(with around 60 contests per ballot), the ballot record for each individual voter is preserved.

Third, we extensively checked the CVRs against other official sources of data, mainly the MIT Election Data and Science Lab's 2020 precinct-level returns (MIT Election Data and Science Lab 2022), as documented by Baltz et al. (2022). Baltz et al. (2022) was an ideal dataset to use as validation because it is at the precinct-level, it has standardized its formatting across states, it includes district-level as well as statewide contests, and features extensive documentation. We limited our attention to six offices: U.S. President, Governor, U.S. Senate, U.S. House, State Senate, and State House. The CVRs include votes for many other offices, including local administrative offices, school boards, and referendums. Other work analyzes these offices (Conevska et al. 2024; Reece et al. 2024) but we exclude them in our initial dataset release because we lack fully standardized official data to extensively validate against.

After attempting to find the best matching official result for all counties available on votedatabase.com, we only release counties with candidate-level discrepancies of 1 percent or less at the candidate-county level. This results in 352 counties in 20 states. The section "Technical Validation" discusses the discrepancy procedure in more depth.

Fifth, we extracted precinct information in the cast vote records and standardized them to match the MIT Election Data and Science Lab database of standardized precinct names. Precinct identifiers were often either names (e.g., "City of Madison Ward 1") or numeric codes (e.g., Precinct 35-001). These classifications are known to vary widely across jurisdictions and machines, with no national standard. We used fuzzy string matching and triangulation of vote counts to link the precincts, which we detail in Appendix A. There are 306 counties which we matched to the precinct level database.

#### **Data Records**

Our dataset includes 160,135,870 rows, each indicating a choice of a voter for a given contest. Our data is deposited in Dataverse at https://doi.org/10.7910/DVN/PQQ3KV (Kuriwaki, Reece, et al. 2024).

**Variables** Table 1 describes the variables in the data. Voters are uniquely identified by the state, county name, and CVR ID assigned by ourselves, with the exception of unmerged fragmented ballots described in the methods section. Contests are uniquely identified by the state, office, and district. In all offices but the State House in Arizona and West Virginia, the contests represented here are single-choice elections (a magnitude of one). <sup>11</sup> The names and values for our variables

Arizona voters get 2 valid votes to elect their Representatives for the state's lower chamber, with 2 winners per district. Until 2020, some West Virginia voters had 2 or 3 valid votes for their lower chamber, in districts with 2 or 3 winners. West Virginia's lower chamber became a single member district system in 2022. It's state senate districts are represented

generally follow the naming convention in Baltz et al. (2022).

The candidate value is the name of the candidate that ballot was cast for, or it can be an undervote (UNDERVOTE), overvote (OVERVOTE), or write-in (WRITEIN). Undervotes refer to a blank choice for that contest or mark that the tabulator could not interpret, and overvotes refer to a voter marking more candidates than they could vote for in a given contest. Even though both types of votes are invalid, undervoting in particular is seen as a form of contest-specific abstention and is of interest to election scholars.

Third-party candidates and write-in candidates rarely win themselves, but the ideological orientation of voters who vote for them is of interest to researchers (Herron and Lewis 2007). When a candidate is listed on the ballot with a registered party, they are listed with their party affiliation in the party\_detailed variable (such as Libertarian, Green, or "No Party Affiliation" in Florida). The party\_detailed variable is set to missing for undervotes and overvotes. Jurisdictions vary in whether write-ins are reported separately or grouped together simply as write-in votes, and ballot access for third parties also varies by state. When the candidate is not listed on the ballot with a party, we record them as write-in candidates with no party affiliation (For more details, see Appendix C).

Our dataset also records the precinct of the cast vote record, as discussed in the Methods section. We provide two variables for precincts (Table 1). precinct\_medsl is our matched precinct name, formatted to correspond exactly with those in Baltz et al. (2022). precint\_cvr is a concatenation of the original precinct or precinct portion name as it appears in the cast vote record by the pipe character |. The concatenation occurs for every set of precincts for a given precinct\_medsl. For example, if precinct 001 as defined in Baltz et al. (2022) contains two precinct portions 001A and 001B, and the cast vote records record the precinct portion, we give all voters in precinct 001 the value of 001A | 001B.

We provide only the concatenated values to avoid facilitating the linkage of any particular voter to the vote that they cast. One concern with including precinct values in the cast vote record is that in rare instances, it may allow the unraveling of the secret ballot. In order to minimize the risk that the CVR data would allow for any voter revelation, we withhold the precinct information in counties where the conditions necessary for revelation to occur hold. To understand why election results can undo the secret ballot, suppose there is a precinct with only 3 voters in it. *Who* voted in U.S. elections is public via lists of voter rolls produced by election officials. Thus if all voters in the precinct voted for the same candidate and results were published at the precinct level, the vote choice for those 3 voters is revealed to anyone who has access to a voter list. Kuriwaki, Lewis, and Morse (2023) examines and outlines the extent of such *public revelation* and shows that CVRs reveal no more than granular election totals that are already published. Applying their method, we find that about 1 percent of our matched precincts, located in 32 counties of our released data, are ones in which such a revelation would be possible with the individual-level cast vote record but not with the precinct-level returns. To be conservative, we remove all precinct values from all these 32 counties, i.e. not only in the revealed precinct, but all other precincts in the county.

**Geographic Coverage** A total of 20 states are covered by our CVR data. Figure D.1 shows the geographic distribution of our data by state, and Table D.2 lists all counties in our released dataset. How does the coverage of our available data compare to the entire U.S.? Table 2 compares the characteristics of our collected samples with the entire state. A convenient cross-state metric to indicate the partisan tilt of our sample is the percentage of voters in our dataset that vote for the

by 2 senators, but they run on staggered terms.

Even though precinct-level returns can also reveal vote choices, Kuriwaki, Lewis, and Morse (2023) notes that their revelations are ambiguous if they do not report undervotes. Because CVRs report undervotes, this can make some potential revelations more certain in a way that Baltz et al. (2022) cannot.

Moreover, because the raw CVR files made available on votedatabase.com contain the unredacted precincts, our dataset does not make more revelations possible than they already were. If we had not redacted the individual precinct values, our standardization of the data would have made the revelations facilitated by the CVR data easier to undertake. We have done such a redaction.

**Table 2. Comparison of Data Coverage to Entire State**. The first set of columns compares the two-party Biden voteshare in our data (CVR) and the entire state (Pop.). The second set of columns shows the total number of valid votes (excluding undervotes and overvotes)

Democratic presidential candidate, Joseph R. Biden. Table 2 compares the percentage of Biden voters as a share of Biden and Trump voters in the dataset and the state(s) as a whole. In some states, like Ohio, the Biden lean of the CVR is within 1 percentage point of the statewide election result, even though we do not have CVRs of over two-thirds of the state's voters. Overall, 56 percent of our collection's Presidential voters are Biden voters (as a percentage of the two-party vote), while his two-party share nationwide was 52.3 percent (Federal Election Commission 2022).

Figure D.2 compares the set of counties based on population, race, and urban-ness. We take statistics from the 2020 decennial Census and compare our counties with the full set of counties by visualizing the density of the data across its range. Our counties tilt slightly towards populous counties (i.e., we are missing very small counties), as shown by the mismatch in the blue and clear densities in the figure. Table D.1 further indicates that our sample resembles the average county. The average county in our dataset does not differ from the average U.S. county in terms of the fraction racial minorities, age, and home-ownership by more than 2 percentage points. However, our average tends to be more urban.

#### **Technical Validation**

We extensively analyzed each county's files and compared them with official results, as described in the previous section.

**Validation** In general terms, we define a discrepancy as any difference between the summed total of CVRs cast for candidates in the CVRs we processed from a county and the certified results published by that county. More precisely, we compute the discrepancy in a county k by the percentage

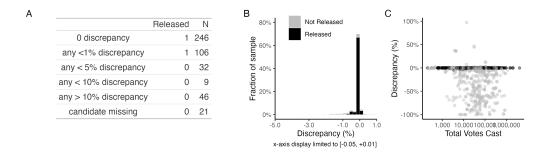
$$\operatorname{discrepancy}_{k} = \max_{j \in \mathbb{I}_{k}} \left\{ \left| \frac{N_{j}^{c} - N_{j}^{v}}{N_{j}^{v}} \right| \right\}, \tag{1}$$

where  $N^c$  is the CVR vote count,  $N^v$  is the official vote count, j indexes candidates in a county,  $|\cdot|$  is the absolute value function, and  $\mathbb{I}_k$  is the set of all Republican, Democratic, and Libertarian candidates in the six offices in county k. We limited our validation to these three parties because affiliations for other choices can be reported in different ways by the county or voting machine, leading to mismatches even when the count is correct. We relied on Baltz et al. (2022) as our official vote count, and collected official statements of the vote from counties directly where necessary.

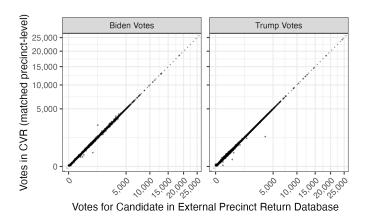
We then release counties where the discrepancy is 1 percent or less. Figure 2 shows the distribution of discrepancies. In panel A, we binned the maximum error rates at the county-level, showing that 246 counties had no discrepancy across all six offices, and 106 had a candidate with discrepancies within 1 percent. Panel B shows the candidate-level discrepancies, focusing on the range of -5 to 1 percent. We see that almost all the discrepancies are within 0.2 percent (and most are exactly zero). Some data points with 0 discrepancy are nevertheless not released and shown in light gray. This is because those counties have zero discrepancies in some offices but a discrepancy larger than 1 percent in other offices. Panel C shows the correlates of discrepancy by population. The discrepancies tend to be dispersed across large and small jurisdictions.

In addition to computing discrepancies at the county-level, we also conducted a precinct-level validation. Our matching procedure described previously produced 21,305 precincts in 306 counties that could be matched to standardized precinct names in Baltz et al. (2022). Figure 3

**Figure 2.** Error Rates. (A) number of counties by discrepancy. (B) distribution of errors at the candidate level, limited to the neighborhood of 1 percent. (C) relationship between differences in total votes.



**Figure 3. Precinct Level Validation**. Comparisons of vote totals for each Presidential candidate at the precinct level, with those from a precinct-level database (Baltz et al. 2022) on the x-axis and those from our cast vote record database (with approximately matched precinct) on the y-axis. Axes are shown on a square root scale.



shows the alignment between the total votes for Presidential candidates in the CVR, per precinct, and the corresponding votes independently reported by the precinct-level dataset in Baltz et al. (2022). The match is not perfect due to the inclusion of counties with up to 1 percent discrepancies. Nevertheless, 19,129 precincts (out of 21,304 assigned) matched exactly, and 20,341 matched within 3 votes.

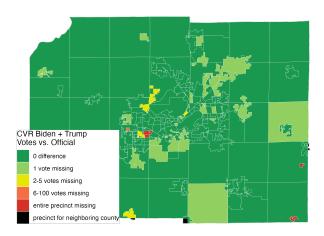
**Reasons for Discrepancy** Through our extensive validation, we found that reasons for divergence, in the rare cases where it happened, tended to fall into one of several categories.

First, in some counties, entire precincts were missing from the cast vote record data. This can happen if a county chooses to hand-count a batch of its ballots, or if the precinct's ballots are processed differently.

Second, in some counties the cast vote record data did not include votes cast by certain methods (vote by mail, in-person, provisional). For example, in Santa Rosa County, Florida and Cuyahoga County, Ohio, it appears that the mailed-in votes have not been included in the cast vote record export uploaded to O'Donnell (2023)'s website. We verified this by comparing our counts with the county's published vote totals broken out by vote method. This may occur if mailed and in-person votes are handled by a different tabulator.

Another type of known discrepancy is due to redactions done by counties to protect the integrity

**Figure 4. Precinct-level Validation**. An example from precinct-level matches in Dane County, Wisconsin



of the secret ballot, as we previewed in the Data Description section. Thirteen counties in Colorado and California provided CVRs where the vote choices of ballots in small ballot styles were removed. This practice is becoming increasingly common, and it is one way in which jurisdictions have tried to balance their dual roles of transparency and privacy. However, perfect redaction is known to be difficult because counties also need to report the total number of votes cast with perfect fidelity, and the redacted information can be backed out by triangulating the total election results. We do not attempt to unredact the CVRs.

There were many other counties with smaller discrepancies that could not be resolved with publicly available data. We believe one possible explanation for these minute discrepancies is the designation of provisional and disputed votes and ballot that were hand-tabulated. Our cast vote record may either exclude or include votes that were disputed but were later counted towards a major party candidate in the certified tally.<sup>14</sup> While the dispute resolution process is publicly recorded on video in many counties, we cannot link each resolved ballot to a record in our database.

The case of Dane County, Wisconsin (containing Madison) is illustrative in showing how several of these discrepancies can manifest in multiple ways. Figure 4 shows a precinct map of the county, colored by type of discrepancy. Two precincts were missing from the Dane CVR because they were held by the neighboring county. Wisconsin cities and villages, which conduct their own election tabulation, may be located near a county border and straddle two counties. In three other instances, we found that a cast vote record from a town actually belonged to the jurisdiction of a different county (and thus manually excluded them from the Dane CVR). In two other precincts in

<sup>&</sup>lt;sup>14</sup> For example, Dodge County, Wisconsin's website cautions that

<sup>&</sup>quot;Please note Cast Vote Record (CVR) Reports are unofficial results from election night. These are the results the voting equipment tabulated on Election Day. The final, official canvass results posted on the Wisconsin Elections Commission's website for any state/federal races also include counted provisional ballots and other small adjustments. These adjustments are not tallied by, or in, the voting equipment, [but] rather through the County Board of Canvass process. The Cast Vote Record (CVR) Reports contain all data fields available in the ES&S Election Software. Also, please note that if a Municipal Clerk has accidentally corrupted their election data after printing their results tapes and electronically transferring the results into the County for a specific election, that data will not be able to be archived and therefore, would have no ballots to be read and included in the CVR Report."

Dane County, scanning machine failures prompted a hand-count of the votes, with no ballot image or cast vote record present. In 25 other precincts, the cast vote record was only 1 vote short of the reported election result. In this case, we contacted the Dane County clerk to resolve these issues, and obtained a letter written by the clerk's office that resolved our discrepancies (Dane County Clerk 2021). The issues fell into the one of the several categories discussed here.

We chose to limit our inquiry to county clerks to a minimum, given that many are already at or above capacity in their day-to-day duties (Ferrer, Thompson, and Orey 2024). We believe it is unlikely that the original collectors of the data tampered with these remaining cases before posting because discrepancies are small and inconsequential for the final outcome.

## **Usage Notes**

In the remaining section, we illustrate how users can read in the data and analyze it. Although we use R as the running example, Python or any database-friendly programming language can read the dataset. We conclude with an example that studies the party loyalty of Republican and Democratic voters in their choice for President.

**Reading in the Data** We store our dataset in a parquet format. Parquet is a modern file storage format optimized for querying large datasets. It is partitioned by grouping variables, and it is columnar (so that users do not need to read in an entire row to extract a value from one column). Our dataset is prohibitively large to read and write in a plain-text format (20 Gb), but is compact and easy to read from in parquet (700 Mb). In R, we use the arrow package to query parquet files. <sup>16</sup>

The following command opens the dataset.

```
library(tidyverse)
library(arrow)

ds <- open_dataset("cvrs")</pre>
```

Here, "cvrs" indicates the path to the top-level folder containing the parquet files downloaded from Dataverse. Our data is organized by county, nested within states. After unzipping the zip file in Dataverse<sup>17</sup>, we see that cvrs has the following structure:

This should not be deemed as a case of election misconduct. The cast vote record, as we have made clear, is not meant to be the official data upon which electoral counts are computed. Instead, CVRs should be considered byproducts or an electronic trail of the ballot tabulator. See, for example, footnote 14

For more information on how to read and write parquet files in R, see https://r4ds.hadley.nz/arrow. Parquet is also designed for usage in Python (https://arrow.apache.org/docs/python/parquet.html) and several other programming languages.

<sup>&</sup>lt;sup>17</sup> Users can see the file hierarchy prior to download by using Dataverse's file preview feature.

```
    □ part-0.parquet

    State=WISCONSIN

    □ county_name=BROWN
    □ part-0.parquet
    □ county_name=KENOSHA
    □ part-0.parquet
    □ county_name=PIERCE
    □ part-0.parquet
    □ county_name=WAUKESHA
    □ part-0.parquet
```

Because parquet is columnar, users will find it much faster to produce summary statistics of the data. Even though the code below counts some 161 million rows, it performs the count in one second on a personal laptop.

To perform this count, we used count() from dplyr, which totals the number of occurrences of each unique value in our office variable. We make use of R's pipe operator, |>, to pass our data objects forward onto subsequent operations we want to perform.

Finally, we must use the collect() command from arrow to extract the summary. All transformations before count() are *lazily-loaded*, meaning that they are not executed until needed. The arrow program combines the transformations internally in a way that avoids duplicative operations.

**Extracting Summaries** Users should use the combination of state, office, and party variable to identify candidates. The code below first limits to vote choices for President in Wisconsin ballots using filter() command, and counts the number of records for each candidate-party collection, sorted from most frequent to least.

```
ds |>
  filter(state == "WISCONSIN", office == "US PRESIDENT") |>
  count(candidate, party, sort = TRUE) |>
  collect()
```

```
# A tibble: 8 x 3
  candidate
                  party
                             n
  <chr>
                  <chr> <int>
1 DONALD J TRUMP
                 REP
                        293283
2 JOSEPH R BIDEN DEM
                        221356
3 JO JORGENSEN
                  LBT
                          6272
4 WRITEIN
                  <NA>
                          1412
5 UNDERVOTE
                  <NA>
                          1337
```

```
6 BRIAN T CARROLL OTH 874
7 DON BLANKENSHIP OTH 724
8 OVERVOTE <NA> 493
```

For individual voters, use the cvr\_id variable within a state and county. This ID is a numeric variable that is defined within counties. These numbers do not in any way indicate the time in which the ballot was cast, or the personal identity of the voter. The following code extracts the vote from the voter marked with the cvr\_id of 23.

```
county_name cvr_id office
                                   district candidate
                                                                      party
  <chr>>
               <int> <chr>
                                   <chr>
                                             <chr>
                                                                      <chr>
1 MARICOPA
                  23 US PRESIDENT FEDERAL
                                             "JOSEPH R. BIDEN"
                                                                      DEM
2 MARICOPA
                  23 US SENATE
                                   ARIZONA
                                            "KELLY, MARK"
                                                                      DEM
3 MARICOPA
                  23 US HOUSE
                                   003
                                             "GRIJALVA, RAÚL"
                                                                      DEM
                                             "KERR, SINE"
4 MARICOPA
                  23 STATE SENATE 013
                                                                      REP
5 MARICOPA
                  23 STATE HOUSE 013
                                             "DUNN, TIMOTHY \"TIM\"" REP
6 MARICOPA
                  23 STATE HOUSE 013
                                             "SANDOVAL, MARIANA"
                                                                      DFM
```

This example shows that this voter split their ticket, voting for Democrats in the Presidential and Congressional race, while voting for one Republican candidate in state senate. However, further investigation into this voter's state senate district shows that it was uncontested.

```
ds |>
  filter(state == "ARIZONA", office == "STATE SENATE", district == "013") |>
  count(candidate, party_detailed) |>
  collect()
```

```
# A tibble: 8 x 3
  candidate
                   party_detailed
  <chr>
                   <chr>
                                   <int>
1 "KERR, SINE"
                   REPUBLICAN
                                   64192
2 "NOT QUALIFIED" <NA>
                                    1852
3 "UNDERVOTE"
                   <NA>
                                   34391
4 "BACKUS, BRENT" <NA>
                                     145
5 "KERR SINE"
                   REPUBLICAN
                                   29196
6 ""
                                     119
                   <NA>
                   WRITEIN
                                     531
7 "WRITEIN"
8 "OVERVOTE"
                                      17
                   <NA>
```

We see that none of the ballots in State Senate district 13 were for a Democrat candidate, indicating that no Democrat ran in this district.

**Application: Biden and Trump's Party Loyalty** As our main exercise, we ask whether partisans — defined by their votes for Congress and state legislature — vote for their party's presidential candidate. Trump was a polarizing candidate. Election observers have wondered if Trump drew less support from Republican voters compare to Biden's support among Democratic voters. Some referred to these group of voters as "Never Trump Republicans."

For this analysis, we look at the counties in five battleground states which together decided the election: Wisconsin, Michigan, Georgia, Arizona, and Nevada.

```
ds_states <- ds |>
filter(state %in% c("WISCONSIN", "MICHIGAN", "GEORGIA", "ARIZONA", "NEVADA"))
```

Recall that aggregate election results report how many votes Biden and Trump received, but unlike cast vote records, they do not reveal which of those votes came from Republicans and Democratic voters. Only cast vote records can classify voters into partisan types based on how they voted in all offices except President.

We first need to narrow down our data so that we only use voter-contest pairs in contests contested by a Democrat and a Republican. In other words, the voter needed to have a choice to vote for a Republican or Democrat.

The first filter() command in this code limits to vote choices for contested offices. For each state-office-district combination, we examine if there are any Republican candidates *and* any Democrats. Contests that do not meet this criteria are dropped. The second filter() command limits to ballots with a Presidential choice. This excludes fragmented ballots where the President and the rest of the ballot is separated. Both commands are done after collect() because the arrow package does not support group-specific filter commands as of version 16.1.0.

We now construct a dataset where each row is a single voter. We first create a dataset of Presidential votes:

```
## Voters based on President

ds_pres <- ds_contested |>
  filter(office == "US PRESIDENT") |>
  select(
    state, county_name,
    cvr_id, candidate,
    pres_party = party) |>
  mutate(pres = case_when(
    pres_party == "REP" ~ "Trump",
    pres_party == "DEM" ~ "Biden",
    pres_party == "LBT" ~ "Libertarian",
    candidate == "UNDERVOTE" ~ "Undervote",
    .default = "Other"))
```

Separately, we construct a dataset that classifies the same voters based on their non-Presidential vote choice. The variable nonpres\_party is Down-ballot Democrat if the voter only votes for Democrats down-ballot (using the all() command) and it is Down-ballot Republican if the voter only votes for Republicans down-ballot.

```
## subset to all-Dem voters based on everything except President

ds_D <- ds_contested |>
    filter(office != "US PRESIDENT") |>
    filter(all(party == "DEM"), .by = c(state, county_name, cvr_id)) |>
    distinct(state, county_name, cvr_id) |>
    mutate(nonpres_party = "Down-ballot Democrat")

## same subset, but for all-Rep voters

ds_R <- ds_contested |>
    filter(office != "US PRESIDENT") |>
    filter(all(party == "REP"), .by = c(state, county_name, cvr_id)) |>
    distinct(state, county_name, cvr_id) |>
    mutate(nonpres_party = "Down-ballot Republican")
```

Now we join voter's choices for President with their down-ballot choices. Because each row is now a single voter, we join one-to-one using state, county\_name, and cvr\_id. Voters who were not classified into Democrats or Republican, are, by construction, those who voted for some Democratic down-ballot candidates and Republican down-ballot candidates, or undervoted in some of these offices. We label them Mixed.

```
ds_analysis <- ds_pres |>
  left_join(
    bind_rows(ds_D, ds_R),
    by = c("state", "county_name", "cvr_id"), relationship = "one-to-one") |>
  mutate(nonpres_party = replace_na(nonpres_party, "Mixed"))
```

Finally, we construct a cross-tabulation of this dataset using the base-R xtabs() function.

```
xtabs(~ nonpres_party + pres, ds_analysis) |>
addmargins()
```

```
pres
                                                        Trump Undervote
nonpres_party
                           Biden Libertarian
                                                0ther
                                                                             Sum
                                                 6878
 Down-ballot Democrat
                         2621937
                                        11373
                                                        26195
                                                                    3443 2669826
 Down-ballot Republican
                           58887
                                        23337
                                                 9212 3037114
                                                                    8446 3136996
 Mixed
                         1088384
                                        69591
                                                18509 698019
                                                                   16581 1891084
 Sum
                         3769208
                                       104301
                                                34599 3761328
                                                                   28470 7697906
```

This table shows for example that among 2,669,826 solidly Democratic voters, 2,621,937 voted for Joe Biden. We can show cell counts in terms of proportions of the entire row, with the following operation:

```
xtprop <- xtabs(~ nonpres_party + pres, ds_analysis) |>
    prop.table(margin = 1) |>
    round(3)

## add margins
N <- xtabs(~ nonpres_party, ds_analysis)

## reorder columns and append totals
xtprop[, c("Biden", "Trump", "Libertarian", "Undervote")] |>
    cbind(format(N, big.mark = ",")) |>
    kableExtra::kbl(format = "latex", booktabs = TRUE)
```

**Table 3.** Party Loyalty in Five Battle Ground States

	Biden	Trump	Libertarian	Undervote	
Down-ballot Democrat	0.982	0.010	0.004	0.001	2,669,826
Down-ballot Republican	0.019	0.968	0.007	0.003	3,136,996
Mixed	0.576	0.369	0.037	0.009	1,891,084

Table 3 table shows more clearly that the ticket splitting rate among solid partisans was on the order of 1 percent in this sample. Such small samples are almost impossible to detect in a survey. In contrast, 97 percent of solid Republicans stuck with their party's nominee, Trump, and 98 percent of solid Democrats stuck with Biden. Trump's party loyalty was only a percentage point smaller than Biden's.

A starker difference arises in the mixed group (those who vote for some Republicans and some Democrats down-ballot). Biden won this group of weak partisans by more than 20 points. Undervoting for President was low, less than 1 percent, in these group of voters. Down-ballot Republicans were only 0.2 percentage points more likely to undervote in the Presidential contest compared to down-ballot Democrats.

More can be done to examine if these results vary by state, county, or precinct. Future versions of this dataset can also include ballot measures and local candidates that give more context of these patterns.

## Code availability

Code that we construct the dataset from downloaded files are available at https://github.com/kuriwaki/cvr\_harvard-mit\_scripts.

### References

- Adler, E. Scott and Thad E. Hall (2013). "Ballots, Transparency, and Democracy". *Election Law Journal* 12.2, pp. 146–161. DOI: doi:10.1089/elj.2012.0179.
- Ansolabehere, Stephen, Maxwell Palmer, and Amanda Lee (2014). *Precinct-Level Election Data*, 2002-2012. Draft version on Harvard Dataverse at https://doi.org/10.7910/DVN/YN4TLR.
- Atkeson, Lonna Rae, Eli McKown-Dawson, M.V. Hood III, and Robert Stein (2023). "Voter Perceptions of Secrecy in the 2020 Election". *Election Law Journal*, pp. 234–253. DOI: 10.1089/elj.2022.0064.
- Bafumi, Joseph, Michael C. Herron, Seth J. Hill, and Jeffrey B. Lewis (2012). "Alvin Greene? Who? How Did He Win the United States Senate Nomination in South Carolina?" *Election Law Journal* 11.4, pp. 358–379. DOI: 10.1089/elj.2011.0137.
- Baltz, Samuel, Alexander Agadjanian, Declan Chin, John Curiel, Kevin DeLuca, James Dunham, Jennifer Miranda, Connor Halloran Phillips, Annabel Uhlman, Cameron Wimpy, Marcos Zárate, and Charles Stewart III (2022). "American election results at the precinct level". *Nature Scientific Data*. DOI: 10.1038/s41597-022-01745-0.
- Bernhard, Matthew, Josh Benaloh, J Alex Halderman, Ronald L Rivest, Peter YA Ryan, Philip B Stark, Vanessa Teague, Poorvi L Vora, and Dan S Wallach (2017). "Public evidence from secret ballots". *Electronic Voting: Second International Joint Conference, E-Vote-ID 2017, Bregenz, Austria, October 24-27, 2017, Proceedings 2.* Springer, pp. 84–109.
- Biggers, Daniel R, Elizabeth Mitchell Elder, Seth J Hill, Thad Kousser, Gabriel S Lenz, and Mackenzie Lockhart (2023). "Can Addressing Integrity Concerns about Mail Balloting Increase Turnout? Results from a Large-Scale Field Experiment in the 2020 Presidential Election". *Journal of Experimental Political Science* 10.3, pp. 413–425.
- Bloomberg Technology (2022). 'Raccoon Army' Swamps Election Officials in Dubious Campaign to Disprove Results. October 25, 2022.
- Burden, Barry C and David C Kimball (2009). Why Americans split their tickets: Campaigns, competition, and divided government. University of Michigan Press.
- Conevska, Aleksandra, Shigeo Hirano, Shiro Kuriwaki, Jeffrey B. Lewis, Can Mutlu, and James M. Snyder (2024). "Is All U.S. Politics National? Evidence from 2020 Cast Vote Records". *Presented at Midwest Political Science Association Conference*.
- Dane County Clerk (2021). *RE: November 2020 General Election Ballot Images*. Letter to Brian McGrath and Kyle Koenen on August 13, 2021.
- DeLuca, Kevin (2024). "Editor's Choice: Measuring Candidate Quality using Local Newspaper Endorsements". *APSA Preprints*. DOI: 10.33774/apsa-2023-3qdmj-v3.
- Dowling, Conor M., Michael G. Miller, and Kevin Morris (2024). "Can Voters Locate Copartisan Candidates in Nonpartisan Elections? Evidence from Cast Vote Records".
- Dubin, Jeffrey A and Elisabeth R Gerber (1992). *Patterns of voting on ballot propositions: A mixture model of voter types*. Tech. rep. California Institute of Technology, Division of the Humanities and Social...
- Federal Election Commission (2022). FEDERAL ELECTIONS 2020: Election Results for the U.S. President, the U.S. Senate and the U.S. House of Representatives. Tech. rep. URL: https://www.fec.gov/resources/cms-content/documents/federalelections2020.pdf.
- Ferrer, Joshua, Daniel M. Thompson, and Rachel Orey (2024). "Election Official Turnover Rates from 2000-2024". *Bipartisan Policy Center*. URL: https://bipartisanpolicy.org/report/election-official-turnover-rates-from-2000-2024/.

- Gerber, Alan S., Gregory A. Huber, David Doherty, and Conor M. Dowling (2013a). "Is there a secret ballot? Ballot secrecy perceptions and their implications for voting behaviour". *British Journal of Political Science* 43.1, pp. 77–102.
- Gerber, Alan S., Gregory A. Huber, David Doherty, Conor M. Dowling, and Seth J. Hill (2013b). "Do perceptions of ballot secrecy influence turnout? Results from a field experiment". *American Journal of Political Science* 57.3, pp. 537–551.
- Gerber, Elisabeth R. and Jeffrey B. Lewis (2004). "Beyond the Median: Voter Preferences, District Heterogeneity, and Political Representation". *Journal of Political Economy* 112.6, 1364. DOI: 10.1086/424737.
- Green, Rebecca (2024). "FOIA-Flooded Elections". Forthcoming, Ohio State Law Journal.
- Grimmer, Justin, Michael C Herron, and Matthew Tyler (2024). "Evaluating a New Generation of Expansive Claims about Vote Manipulation". *Election Law Journal: Rules, Politics, and Policy*.
- Herron, Michael C. and Jeffrey B. Lewis (2007). "Did Ralph Nader Spoil a Gore Presidency? A Ballot-level Study of Green and Reform Party Voters in the 2000 Presidential Election". *Quarterly Journal of Political Science* 2.3, pp. 205–226. DOI: 10.1561/100.00005039.
- Jaffe, Jacob, Joseph Loffredo, Samuel Baltz, Alejandro Flores, and Charles Stewart III (2023). What Effect do Audits Have on Voter Confidence? Tech. rep. Working Paper.
- King, Gary, Bradley Palmquist, Greg Adams, Micah Altman, Kenneth Benoit, Claudine Gay, Jeffrey B. Lewis, Russ Mayer, and Eric Reinhardt (1997). *The Record of American Democracy, 1984-1990*. Documentation at https://road.hmdc.harvard.edu/pages/road-documentation.
- Kuriwaki, Shiro (2023). "Ticket Splitting in a Nationalized Era". Working Paper. URL: https://osf.io/preprints/socarxiv/bygz3/.
- Kuriwaki, Shiro, Jeffrey B. Lewis, and Michael Morse (2023). "The Still Secret Ballot: The Limited Privacy Cost of Transparent Election Results". *Working paper*. URL: https://arxiv.org/abs/2308.04100.
- Kuriwaki, Shiro, Mason Reece, et al. (2024). Cast vote records: A database of ballots from the 2020 U.S. Election, Harvard Dataverse. URL: https://doi.org/10.7910/DVN/PQQ3KV.
- Leingang, Rachel (Sept. 7, 2022). "Election activists are seeking the "cast vote record" from 2020. Here's what it is and why they want it." *Votebeat, September 7, 2022*. URL: https://arizona.votebeat.org/2022/9/7/23341640/cast-vote-record-data-ballot-tabulator-images.
- Malzhan, Janet and Andrew B. Hall (2024). "Election-Denying Republican Candidates Underperformed in the 2022 Midterms". Working Paper, Forthcoming, American Political Science Review.
- MIT Election Data and Science Lab (2022). *Precinct-Level Returns 2020 by Individual State*. Harvard Dataverse. DOI: https://doi.org/10.7910/DVN/NT66Z3.
- O'Donnell, Jeffrey (2023). "The Fingerprints of Fraud: Evidence and Analysis of Multi-State Conspiracy to Defraud the 2020 General Election, Vol. 1". *Unpublished Manuscript Available Online*.
- Reece, Mason, Gabrielle Péloquin-Skulski, Kate Murray, Joseph Loffredo, Kevin E Acevedo Jetter, Fernanda Gonzalez, Zachary Djanogly Garai, Alejandro Flores, Luka Bulic Braculj, Samuel Baltz, and Charles Stewart III (2024). "Hidden Partisanship in American Elections".
- Voting and Election Science Team (2024). *Precinct-Level Election Results*. Last accessed 2024. URL: https://dataverse.harvard.edu/dataverse/electionscience.
- Wack, John P (2019). "Cast Vote Records Common Data Format Specification Version 1.0". *National Institute of Standards and Technology*. DOI: 10.6028/NIST.SP.1500-103.
- Wand, Jonathan N., Kenneth W. Shotts, Jasjeet S. Sekhon, Walter R. Mebane, Michael C. Herron, and Henry E. Brady (2001). "The butterfly did it: The aberrant vote for Buchanan in Palm Beach County, Florida". *American Political Science Review* 95.4, pp. 793–810.

Williams, Jack R, Samuel Baltz, and Charles Stewart (2024). "Votes Can Be Confidently Bought in Some Ranked Ballot Elections, and What to Do about It". *Political Analysis*, pp. 1–13.

## **Acknowledgements**

We thank Luka Bulić Bračulj for additional work analyzing the data. We also thank Scott McDonnell of Dane County for answering our questions about Dane County, as well as other local election officials that answered our questions. We also thank Larry Moore for inspiration on the usage example. This material is based upon work supported by the National Science Foundation Graduate Research Fellowship Program under Grant No. DGE-2139841 (to T.S.).

#### Author contributions statement

S.K. drafted the manuscript; M.R., J.S., and J.B.L. were the principal maintainers of the database construction; A.C., C.S., J.B.L., J.R.L., J.S., M.R., S.H., S.K. contributed to the manuscript; A.C., C.M., J.B.L., J.R.L., J.S., K.A., K.M., S.H., S.K., M.R., T.S., Z.G., performed data processing, validation, investigation; J.B.L., J.R.L., J.S., M.R., S.K., S.B., T.S., wrote software; C.S., J.S., M.R., S.B., S.K. supervised the project. All authors reviewed the paper.

## **Competing interests**

Authors declare no conflicting interests that might be perceived to influence the results and/or discussion reported in this paper.

# **Appendix**

#### **A Precinct Name Standarization**

Linking CVR data to other precinct-level data sources is not straightforward. The released CVR data typically contains information about the voting precinct in which each ballot was cast. However, there is no agreed-upon standard for the labeling of precincts. The precinct labels used in the CVR data often do not match those used in the official precinct-level results published by the county or state. Further, CVRs sometimes provide more detailed geographic information (commonly called "subprecinct" information) that is not included in the official precinct-level tallies.

In order to make the CVR data more useful to researchers, we constructed a crosswalk from the precinct labels used in the CVR data to the precinct labels used in a widely-used freely-available national database of certified precinct-level 2020 General Election results published by the MIT Election Data and Science Lab (MEDSL) (see Baltz et al. 2022). Using that crosswalk, we have appended the MEDSL precinct label to our dataset.

We created this crosswalk by the following steps:

- 1. First, we aggregated the CVR data to the (sub)precinct level based on the precinct labels that they include. We then attempted to match our CVR-based precinct records to the MEDSL precinct data. Working county-by-county, we matched CVR precincts to MEDSL precincts based upon the reported number of votes cast for Democratic and Republican candidates for US President, US Senate, US House, State Senate, and State House. For many counties, unique exact matches for every CVR precinct could be found among the MEDSL precincts. For those counties, this set of unique exact matches was used as the crosswalk between CVR and MEDSL precincts labels.
- 2. For counties in which unique exact candidate vote matches could not be found for every precinct, we transformed the CVR precinct names to more closely match the format of the MEDSL precinct names. We then disambiguated cases in which a given CVR precinct's candidate vote total exactly matched more than one MEDSL precinct using the edit distance between the transformed CVR precinct name and the MEDSL precinct name by selecting the potential match having the smallest edit distance. In cases in which no exact match based on the candidate vote totals existed, we established a linkage if the transformed CVR precinct name exactly matched the MEDSL precinct name.
- 3. For those counties containing precincts for which neither the candidate vote totals nor the transformed precinct names could be exactly matched across the CVR and MEDSL data, potential matches were identified by the smallest sum of absolute deviations in candidate votes and confirmed by manual comparison of the CVR and the MEDSL precinct labels. Where this was not possible, no linkage was established.
- 4. For some counties, we determined that the CVR precinct labels included subprecinct information meaning that the CVR-based precinct-level data was at a lower level of aggregation than that reported in the MEDSL data. In those counties, we transformed to CVR precinct names to remove the subprecinct information and re-aggregated to produce CVR precinct records at the same level of aggregation as the MEDSL precincts before constructing the crosswalk using the methods described above.

<sup>&</sup>lt;sup>18</sup> For counties such as Los Angeles, California for which the CVRs do not provide precinct identifiers, we have not attempted to place the CVRs in MEDSL precincts. Such a linkage is not in general possible, though it might in be accomplished some cases by using the set of contests included on each ballot (sometimes called the "ballot style") to identify the precinct

MEDSL precinct labels were not applied to records for which a precinct identifier was not present in the CVR data nor to CVR precincts for which neither the names nor the vote totals established a convincing linkage between the two data sources.

In four Michigan Counties (Alcona, Clinton, Gladwin, and Missaukee) few or none of the CVR precincts could be matched to MEDSL precincts using the method described. In these four counties, the inability to link CVR precincts to MEDSL precincts appeared to be the result of irregularities in the MEDSL data. Those issues may be resolved in the future.

Fifteen other counties contained between one and five CVR precincts that could not be linked to MEDSL precincts. All of the precincts in the remaining 296 counties for which CVR precinct information was available could be linked to MEDSL precincts. In total, 28,540 CVR unique (sub)precincts were linked to 23,467 MEDSL precincts.

## **B** Fixing Fragmented Ballots

The counties in Table B.1 did not have a clear cast vote record identifier. We nevertheless paired records as one voter (one CVR ID) using a matching algorithm described in Algorithm 1. The algorithm relies on the user to supply two arguments, (1) a grouping column, args.groupcol, that is a condition for pages to belong to the same voter and (2) a target column, args.targetcol, in the CVR that can be used to match pages together. For example, if the column is the President, if two rows actually belong to the same voter, the pair must have an identical value for the args.groupcol, and one row will have a value for President and the other row will be blank for President.

#### **Algorithm 1** Process Missing Values in Dataset

```
1: Initialize an empty set used_rows to keep track of processed rows.
 2: Determine the total number of rows in the dataset, num_rows.
 3: for each row current_row at index i in the dataset do
       if i is in used_rows then
 4:
           continue
 5:
       end if
 6:
       if current_row[args.targetcol] is missing a value then
 7:
 8:
           for each index j in expanding spiral around i do
 9.
              if j \ge \text{num\_rows then}
10:
                  break
              end if
11:
12:
              if j is not in used_rows and current_row[args.groupcol] equals data[j,
   args.groupcol] and data[j, args.targetcol] is not missing then
13:
                  Merge current_row with the complementary row at index j to form
   merged_row.
                  Update data[i] with merged_row.
14:
                  Add j to used_rows.
15:
16:
                  break
              end if
17:
18:
           end for
       end if
19:
20: end for
```

# C Third Party Candidates and Write-ins

Designations for third parties vary widely by state. This is compounded by the different ways counties print party on the ballot, and the types of voting machines store and record information about third party candidates and write-ins.

Table B.1. Counties Where Algorithm 1 was used to re-connect pages

County	Group Column
Alameda, California	Ballot Type
Contra Costa, California	Ballot Type
Kings, California	Ballot Type
Merced, California	Ballot Style
Riverside, California	Ballot Type
San Benito, California	Ballot Type
San Mateo, California	Ballot Type
Sonoma, California	Ballot Type
Yuba, California	Ballot Type
Denver, Colorado	Ballot Type
Eagle, Colorado	Ballot Type
Routt, Colorado	Ballot Type
Gwinnett, Georgia	Ballot Type
Baltimore, Maryland	Ballot Style
Baltimore City, Maryland	Ballot Style
Montgomery, Maryland	Ballot Style
Prince George's Maryland	Ballot Style
Butler, Ohio	Ballot Type
Champaign, Ohio	BallotStyleID
Cuyahoga, Ohio	Ballot Style
Greene, Ohio	Ballot Type
Rhode Island	Ballot Style

Howie Hawkins was the Green Party's nominee for U.S. President but the Green Party did not get ballot access in some states. Among the states that we examined, the Green Party appears to not have had ballot access in Arizona, Georgia, Pennsylvania, and Wisconsin. Some jurisdictions, e.g., in Wisconsin, do not report out Hawkins as a specific candidate in either the cast vote record or the election returns; he is lumped into simply WRITEIN. In Georgia, the cast vote record and the election returns report Hawkins as its own candidate, but they are still write-ins. <sup>19</sup> In all these cases, the party value for Hawkins is a Write-in, not the Green Party. In contrast, New Jersey's CVRs records each and every write-in choice as a valid candidate.

It is worth noting that some of the data on votedatabase.com lost information about write-ins due to information loss from Excel to CSV. In some machines, notably the ES&S DS200 scanner, write-ins are scanned and can produce a cast vote record in Microsoft Excel. In these sheets, the write-in candidates are not transcribed into text but stored as an image file. However, O'Donnell instructed his collaborators to upload these data as a plain-text CSV file, which loses information in the image. In these cases, write-in votes are tracked as blank cells and excluded from our dataset. Our data, therefore, systematically misses write-in votes in many counties that use this format of cast vote record.

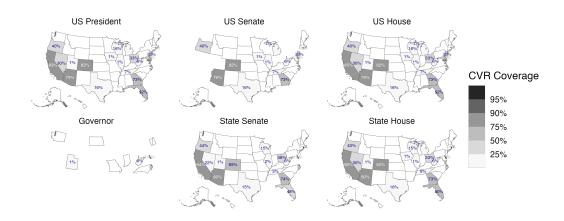
## **D** Sample Characteristics

See Table D.2 for the list of counties included in our data release. In Delaware and Rhode Island, we count the whole state as one county given the format of the data.

Figure D.1 shows the states in our dataset and the coverage of its population for each office. The percentage values assigned for each state indicate the total number of ballots relative to the

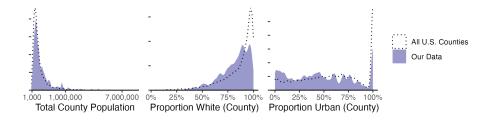
<sup>19</sup> See https://ballotpedia.org/Georgia\_official\_sample\_ballots,\_2020 for a sample ballot, and https://bit.ly/3RYhL3A for an example of how Hawkins is reported in an election return.

**Figure D.1.** Coverage of CVR data. The percentage shows the fraction of total voters contained in the CVR for that state and office. States are not shown on the map if the office was not on the ballot. States have no percentage if CVRs were not available for that state.



total count of votes reported in the entire state. For example, in Texas, we have around 15 percent of the votes for the President, Congress, and state legislature. There was no election for Governor in the state.

**Figure D.2.** Characteristics of County Data. Comparison of the counties in our sample with all counties in the United States. Data all come from the 2020 Decennial Census. Plots show density plots for each group. The total population variable is shown on a square-root scale.



**Table D.1. Detailed Characteristics of County Data**. Comparison of the counties in our sample with all counties in the United States. Data come from the 2020 Decennial Census. Column-pairs report key summary statistics across counties.

	Mean		M	Median		Standard Dev.	
	Nation	CVR	Nation	CVR	Nation	CVR	
Percent Urban	37.090	49.571	34.775	53.346	34.163	33.692	
Percent White	75.312	71.735	82.148	75.051	19.939	16.848	
Percent Black	8.678	9.873	2.238	3.756	13.954	13.387	
Percent Hispanic	11.946	14.629	4.770	8.897	19.243	14.907	
Percent Under 18	21.905	21.785	21.904	22.113	3.350	3.408	
Percent Over 65	20.192	19.403	19.879	18.580	4.673	5.388	
Percent Homeowners	28.529	27.054	29.033	27.030	4.425	4.532	

Arizona	Crowley	Hamilton	Hart	Allegany	Salem	4 counties,
4 counties,	Custer	Hillsborough	Heard	Anne		216,215
2,706,032	Delta	Holmes	Henry	Arundel	Ohio	voters
voters	Denver	Lafayette	Houston	Baltimore	33 counties,	Loudon
Maricopa	Dolores	Lake	Irwin	Baltimore	1,940,539	Pickett
Pima	Douglas	Manatee	Jackson	City	voters	Sevier
Santa Cruz	Eagle	Marion	Jasper	Calvert	Allen	Williamson
Yuma	El Paso	Martin	Lanier	Caroline	Ashtabula	
	Elbert	Nassau	Laurens	Carroll	Auglaize	Texas
California	Fremont	Okaloosa	Lee	Cecil	Belmont	28 counties,
34 counties,	Garfield	Orange	Lowndes	Charles	Butler	1,800,681
14,614,190	Gilpin	Palm Beach	Lumpkin	Dorchester	Champaign	voters
voters	Grand	Pasco	Madison	Frederick	Clark	Andrews
Alameda	Gunnison	St Johns	Mcduffie	Garrett	Clinton	Bosque
Amador	Hinsdale	Sumter	Mitchell	Harford	Darke	Collin
Contra Costa	Huerfano	Wakulla	Morgan	Howard	Erie	Comal
Del Norte	Jefferson	Walton	Murray	Kent	Fayette	Cooke
El Dorado	Kiowa		Muscogee	Prince	Franklin	Cottle
Fresno	Kit Carson	Georgia	Newton	George's	Gallia	Crane
Glenn	La Plata	86 counties,	Oconee	Queen	Greene	Ellis
Humboldt	Lake	3,643,517	Paulding	Anne's	Hancock	Erath
Kern	Larimer	voters	Pierce	Somerset	Harrison	Fort Bend
Kings	Lincoln	Bacon	Pike	Talbot	Highland	Grayson
Lake	Logan	Barrow	Polk	Washington	Hocking	Guadalupe
Los Angeles	Mesa	Bartow	Pulaski	Wicomico	Logan	Hidalgo
Marin	Mineral	Ben Hill	Putnam		Miami	Kendall
Mendocino	Moffat	Berrien	Rabun	Michigan	Pickaway	Lee
Merced	Montezuma	Bibb	Richmond	10 counties,	Preble	Montague
Nevada	Montrose	Bleckley	Rockdale	403,539	Putnam	Navarro
Orange	Morgan	Brantley	Schley	voters	Richland	Oldham
Placer	Otero	Bryan	Spalding	Alcona	Ross	Orange
Riverside	Ouray	Burke	Talbot	Alger	Seneca	Parmer
San Benito	Park	Camden	Tattnall	Charlevoix	Shelby	Potter
San	Phillips	Carroll	Terrell	Clinton	Trumbull	Scurry
Bernardino	Pitkin	Catoosa	Thomas	Eaton	Tuscarawas	Smith
San Diego	Prowers	Charlton	Tift	Gladwin	Van Wert	Stephens
San	Pueblo	Chatham	Upson	Iron	Wayne	Taylor
Francisco	Rio Blanco	Chattooga	Walker	Mason	Wood	Walker
San Luis	Rio Grande	Cherokee	Walton	Missaukee	Wyandot	Washington
Obispo	Routt	Clarke	Warren	Washtenaw	_	Wharton
San Mateo	Saguache	Cobb	Whitfield		Oregon	
Santa	San Miguel	Colquitt	Wilcox	Nevada	14 counties,	Utah
Barbara	Sedgwick	Columbia		11 counties,	958,676	1 county,
Santa Cruz	Teller	Cook	Illinois	419,067	voters	6,938 voters
Shasta	Washington	Crisp	8 counties,	voters	Columbia	San Juan
Sonoma	Weld	Dade	85,625	Carson City	Coos	***
Sutter	Yuma	Dawson	voters	Churchill	Douglas	West
Tehama	ъ.	Dekalb	Brown	Douglas	Harney	Virginia
Tuolumne	Delaware	Douglas	Clinton	Elko	Josephine	2 counties,
Ventura	507,773	Early	Hamilton	Lander	Klamath	49,754
Yuba	voters	Echols	Jo Daviess	Lincoln	Lincoln	voters
6.11	(Statewide)	Elbert	Monroe	Lyon	Linn	Nicholas
Colorado	F1	Emanuel	Pike	Nye	Marion	Wood
57 counties,	Florida	Fayette Forsyth	Union	Pershing	Polk	Wisconsin
2,698,653	26 counties,	•	Wayne	Washoe White Pine	Union	
voters	5,738,195	Franklin	Lowe	wille Pille	Wasco	4 counties,
Adams Alamosa	<i>voters</i> Bradford	Gilmer Glascock	Iowa	New Jersey	Washington Yamhill	525,751
Archuleta	Broward	Glynn	1 county, 11,244	•	1 (111111111	<i>voters</i> Brown
Bent	Calhoun	Gordon		6 counties, 1,384,872	Rhode	Kenosha
Broomfield			voters Dickinson			Pierce
Chaffee	Citrus Collier	Grady Greene	Dickinson	voters Bergen	<b>Island</b> 518,200	Waukesha
Cheyenne	Duval	Gwinnett	Maryland	Bergen Cumberland	voters	waukesiia
Clear Creek	Escambia Escambia	Hall	21 counties,	Gloucester	(Statewide)	
Conejos	Flagler	Haralson	2,420,605	Monmouth	(Statewide)	
Costilla	Gulf	Harris	2,420,003 voters	Passaic	Tennessee	
Cosuma	Juli	1141115	voiers	1 assaic	Tennessee	